

Structure and function of the global ocean microbiome

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Microbes are dominant drivers of biogeochemical processes, yet drawing a global picture of functional diversity, microbial community structure, and their ecological determinants remains a grand challenge. We analyzed 7.2 terabases of metagenomic data from 243 *Tara Oceans* samples from 68 locations in epipelagic and mesopelagic waters across the globe to generate an ocean microbial reference gene catalog with >40 million nonredundant, mostly novel sequences from viruses, prokaryotes, and picoeukaryotes. Using 139 prokaryote-enriched samples, containing >35,000 species, we show vertical stratification with epipelagic community composition mostly driven by temperature rather than other environmental factors or geography. We identify ocean microbial core functionality and reveal that >73% of its abundance is shared with the human gut microbiome despite the physicochemical differences between these two ecosystems.

Microorganisms are ubiquitous in the ocean environment, where they play key roles in biogeochemical processes, such as carbon and nutrient cycling (1). With an estimated 10^4 to 10^6 cells per milliliter, their biomass, combined with high turnover rates and environmental complexity, provides the grounds for immense genetic diversity (2). These microorganisms, and the communities they form, drive and respond to changes in the environment, including climate change-associated shifts in temperature, carbon chemistry, nutrient and oxygen content, and alterations in ocean stratification and currents (3).

With recent advances in community DNA shotgun sequencing (metagenomics) and computational analysis, it is now possible to access the taxonomic and genomic content (microbiome) of ocean microbial communities and, thus, to study their structural patterns, diversity, and functional potential (4, 5). The *Sorcerer II* Global Ocean Sampling (GOS) expedition, for example, collected, sequenced, and analyzed 6.3 gigabases (Gb) of DNA from surface-water samples along a transect from the Northwest Atlantic to the Eastern Tropical Pacific (6, 7) but also indicated that the vast majority of the global ocean microbiome still remained to be uncovered (7). Nevertheless, the GOS project facilitated the study of surface picoplanktonic communities from these regions by providing an ocean metagenomic data set to the scientific community. Several studies have demonstrated that such data could, in prin-

ciple, identify relationships between gene functional compositions and environmental factors (8–10). However, an extended breadth of sampling (e.g., across depth layers, domains of life, organismal-size classes, and around the globe), combined with *in situ* measured environmental data, could provide a global context and minimize potential confounders.

To this end, *Tara Oceans* systematically collected ~35,000 samples for morphological, genetic, and environmental analyses using standardized protocols across multiple depths at global scale, aiming to facilitate a holistic study on how environmental factors and biogeochemical cycles affect oceanic life (11). Here we report the initial analysis of 243 ocean microbiome samples, collected at 68 locations representing all main oceanic regions (except for the Arctic) from three depth layers, which were subjected to metagenomic Illumina sequencing. By integrating these data with those from publicly available ocean metagenomes and reference genomes, we assembled and annotated a reference gene catalog, which we use in combination with phylogenetic marker genes (12, 13) to derive global patterns of functional and taxonomic microbial community structures. The vast majority of genes uncovered in *Tara Oceans* samples had not previously been identified, with particularly high fractions of novel genes in the Southern Ocean and in the twilight, mesopelagic zone. By correlating genomic and environmental features, we infer that temperature, which we de-

coupled from dissolved oxygen, is the strongest environmental factor shaping microbiome composition in the sunlit, epipelagic ocean layer. Furthermore, we define a core set of gene families that are ubiquitous in the ocean and differentiate variable, adaptive functions from stable core functions; the latter are compared between ocean depth layers and to those in the human gut microbiome.

Ocean microbial reference gene catalog

To capture the genomic content of prevalent microbiota across major oceanic regions (Fig. 1A), *Tara Oceans* collected seawater samples within the epipelagic layer, both from the surface water and the deep chlorophyll maximum (DCM) layers, as well as the mesopelagic zone (14). From 68 selected locations, 243 size-fractionated samples targeting organisms up to 3 μm [virus-enriched fraction ($<0.22 \mu\text{m}$): $n = 45$; *giru*/prokaryote-enriched fractions (0.1 to 0.22 μm , 0.22 to 0.45 μm ,

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