					in a chronological order. Details on procedures to ensure animal welfare during their lifetime and during the experiment and how its impact will be minimised. Details on severity assessment and justification.	
If **YES:**	- Are they vertebrates or live cephalopods?	☐	☐		*Information as above.*	*Documents as above.* (See Art. 1.3 of Directive 2010/63/EU).
	- Are they non-human primates (NHP)?	☐	☐		*Information above **plus:*** Confirmation of compliance with Art. 8, 10, 28, 31, 32 (Directive 2010/63/EU). Discussion of specific ethics issues related to their use.	*Documents as above*. Personal history file of NHP (See Art. 31.2 of Directive 2010/63/EU).
	- Are they genetically modified?[4]	☐	☐		Confirmation of compliance with relevant EU and national legislation and details as for non-genetically modified animals above.	Copies of all appropriate authorisations for the supply of animals and the project experiments, copies of GMO authorisation and evidence of compliance with GMO Regulations, and supporting documents as for other experimental animals. Copies of training certificates/ personal licences of the staff involved in animal experiments
	- Are they cloned farm animals?	☐	☐		*Information as above*	Copies of all appropriate authorisations for the supply of animals and the project experiments as for other experimental animals.

						Copies of training certificates/ personal licences of the staff involved in animal experiments. Copies of specific authorisation for cloning, if appropriate.
	- Are they an endangered species?	☐	☐		*Information above **plus:*** Discussion of specific ethics issues related to their use.	Copies of all appropriate authorisations for the supply of animals and the project experiments as for other experimental animals, including CITES. Confirmation of compliance with Art. 7 - Directive 2010/63/EU.
Please indicate the species involved (Maximum number of characters allowed: 1000)						

Section 6: THIRD COUNTRIES	YES/ NO		Page	Information to be provided	Documents to be provided
Does your research involve third countries? *Countries:*(Maximum number of characters allowed: 1000)	☐	☐		Details on activities carried out in non-EU countries.	Copies of relevant Ethics Approvals from EU country host and non-EU country (double Ethics Review).
Do you plan to use local resources (e.g. animal and/or human tissue samples, genetic material, live animals, human remains, materials of historical value, endangered fauna or flora samples, etc.)? If **YES:**	☐	☐		Details on type of local resources to be used and modalities for their use.	If human resources are involved, copies of relevant Ethics Approvals, as above. If animals, plants, micro-organisms and associated traditional knowledge are involved, documentation demonstrating compliance with the Convention on Biodiversity (e.g. access permit and benefit sharing agreement)
Do you plan to import any material, including personal data, from non-EU/third countries into the EU? *If your research involves importing data, please also complete the section*	☐	☐		Details on type of materials or data to be imported.	As above (use of local resources) and: Material Transfer Agreement (MTA) and copies of any authorisations.

"Protection of Personal Data" i.e. Section 4.						
If **YES:**	Specify the materials and countries involved (maximum number of characters allowed: 1000)					
Do you plan to export any material, including personal data, from the EU to third/non-EU countries? *If your research involves exporting data, please also complete the section "Protection of Personal Data" i.e. Section 4.*		☐	☐		Details on type of materials or data to be exported.	Authorisation for export from EU. Material Transfer Agreement (MTA).
If **YES**:	- Specify material and countries involved (maximum number of characters allowed: 1000)					
If your research involves low and/or lower-middle income countries, are any benefit-sharing actions planned?		☐	☐		Details on benefit sharing measures. Details on responsiveness to local research needs. Details on procedures to facilitate effective capacity building.	As above (use of local resources) and narrative document describing benefit sharing, responsiveness to local research needs and capacity building.
Could the situation in the country put the individuals taking part in the research at risk?		☐	☐		Details on safety measures that will be implemented, including personnel training.	Insurance cover

Section 7: ENVIRONMENTAL PROTECTION AND SAFETY		YES/ NO		Page	Information to be provided	Documents to be provided
Does your research involve the use of elements that may cause harm to the environment, animals or plants? If **YES:**		☐	☐		Details on safety measures to be implemented.	Safety classification of laboratory. GMO authorisation, if applicable. Confirmation of compliance with national/local guidelines/legislation.
Does your research deal with endangered fauna and/or flora /protected areas? If **YES:**		☐	☐			Specific approvals, if applicable. Confirmation of compliance with national/local guidelines/legislation.
Does your research involve the use of elements that may cause harm to humans, including research staff? If **YES:**		☐	☐		Details on health and safety procedures.	University/Research organisation safety procedures. Safety classification of laboratory.
Does your research involve the use of elements that may cause harm to humans, including research staff?		☐	☐		Details on health and safety procedures.	University/Research organisation safety procedures. Safety classification of laboratory. Confirmation of compliance with national/local guidelines/legislation
If **YES**	Does your research involve harmful biological agents?[5]	☐	☐			
	Does your research involve harmful chemical and explosive agents?[6]	☐	☐			
	Does your research involve harmful radioactive agents?[7]	☐	☐			
	Does your research involve other harmful materials or equipment, e.g. high-powered laser systems?	☐	☐			

Section 8: DUAL USE [8]		YES/NO		Page	Information to be provided	Documents to be provided
Does your research have the potential for military applications?		☐	☐			
If **YES**	Does your research have an exclusive civilian application focus?	☐	☐		Explanations on the exclusive civilian focus of the research	Confirmation that the inclusion of military partners and technologies relates to civilian applications e.g. in the context of law enforcement activities.
	Will your research use or produce goods or information that will require export licenses in accordance with legislation on dual use items?	☐	☐		Details on what goods and information used and produced in your research will need export licences	Copies of relevant approvals from national export control authorities, if applicable.
	Does your research affect current standards in military ethics – e.g., global ban on weapons of mass destruction, issues of proportionality, discrimination of combatants and accountability in drone and autonomous robotics developments, incendiary or laser weapons?	☐	☐		Details on how the research might affect current standards in military ethics.	A detailed description on what risk mitigation strategies will be implemented to avoid negative implications on military ethics standards outlined in international humanitarian law.

Section 9: MISUSE		YES/NO		Page	Information to be provided	Documents to be provided
Does your research have the potential for malevolent/criminal/terrorist abuse?		☐	☐			
If **YES**	Does your research involve information on/or the use of biological-, chemical-, nuclear/radiological-security sensitive materials and explosives, and means of their delivery?	☐	☐		Details on the legal requirements of the possession of such items and proposed risk mitigation strategies.	Copies of relevant Approvals, if applicable. Copies of personnel security clearances, if applicable
	Does your research involve the development of technologies or the creation of information that could have severe negative impacts on human rights standards (e.g. privacy, stigmatization, discrimination), if misapplied?	☐	☐		Details on measures to prevent malevolent abuse. Details on risk mitigation strategies.	Copies of relevant Ethics Approvals, if applicable.
	Does your research have the potential for terrorist or criminal abuse e.g. infrastructural vulnerability studies, cybersecurity related research?	☐	☐		Details on measures to prevent malevolent abuse. Details on risk mitigation strategies.	Copies of relevant Ethics Approvals, if applicable. Copies of personnel security clearances, if applicable.

SECTION 10: OTHER ETHICS ISSUES	YES/ NO		Page	Information to be provided	Documents to be provided
Are there any other ethics issues that should be taken into consideration? Please specify: (Maximum number of characters allowed: 1000)	☐	☐		Any relevant information.	Any relevant document.

References to legislation and guidelines

1. Regulation of the European Parliament and of the Council laying down the rules for the participation and dissemination in 'Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020).
Regulation of the European Parliament and of the Council establishing Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020).
2. Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data.
3. Directive 2010/63/EU of the European Parliament and of the Council of 22 September 2010 on the protection of animals used for scientific purposes.
4. Directive 2009/41/EC of the European Parliament and of the Council of 6 May 2009 on the contained use of genetically modified microorganisms.
Regulation (EC) No 1946/2003 of the European Parliament and of the Council of 15 July 2003 on trans boundary movements of genetically modified organisms – see specifically its articles 4 to 11 and its annexes III to V.
5. Directive 2009/41/EC and Regulation (EC) No 1946/2003.
6. Regulation (EC) No 1907/2006.
7. Directive 96/29/Euratom, Directive 97/43/Euratom, Directive 2006/117/EU, Directive 2003/122/Euratom.
8. Regulation of the European Parliament and of the Council establishing Horizon 2020 - The Framework Programme for Research and Innovation (2014-2020).
Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items.
Biological and Toxin Weapons Convention 1972.
Chemical Weapons Convention 1992.
Non-Proliferation Treaty 1968.
Directive 2008/56/EC; Council Directive 92/43/EEC; Council Directive 79/409/EEC.
Council Regulation (EC) No 338/97.
Council Decision 93/626/EEC.
Council Decision 2002/628/EC.
Directive 2009/41/EC and Regulation (EC) No 1946/2003.
Regulation (EC) No 1907/2006.